

Sheet 1 of 4

Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. <b>14413-038001</b>	Application No. <b>10/657,726</b>
	Applicant <b>Werner Aigner, et al.</b>		
	Filing Date <b>September 8, 2003</b>	Group Art Unit <b>2171</b>	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AB							
	AC							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
QC	AD	S. Hayward, "New Applications Emerge - Business Process Fusion," Gartner Research, Research Note, 6 pages, March 4, 2003
QC	AE	S. Hayward, M. Gilbert, G. Phifer, F. Caldwell, "The Smart Enterprise Suite Magic Quadrant for 2003," Gartner Research, Research Note, 5 pages, March 4, 2003
QC	AF	IBM, developerWorks : WebSphere : New to WebSphere, "New to WebSphere," <a href="http://www-106.ibm.com/developerworks/websphere/newto">http://www-106.ibm.com/developerworks/websphere/newto</a> , 2 pages
QC	AG	Adrian Powell, "Model-driven development with the Eclipse Modeling Framework, Part 1," IBM, <a href="http://www-106.ibm.com/developerworks/opensource/library/os-ecemfl">http://www-106.ibm.com/developerworks/opensource/library/os-ecemfl</a> , 18 pages, March 30, 2004
QC	AH	IBM WebSphere - e-business on demand, middleware, application server, portal, business integration, infrastructure software, WebSphere Software Platform, "WebSphere platform overview," <a href="http://www-306.ibm.com/software/info1/websphere/index.jsp?tab=products/platforms">http://www-306.ibm.com/software/info1/websphere/index.jsp?tab=products/platforms</a> , 2 pages
QC	AI	IBM WebSphere Foundation & Tools, WebSphere Software Platform, <a href="http://www-306.ibm.com/software/info1/websphere/index.jsp?tab=products/foundtools&amp;S_TACT=103BGW01&amp;S_CMP=camp...">http://www-306.ibm.com/software/info1/websphere/index.jsp?tab=products/foundtools&amp;S_TACT=103BGW01&amp;S_CMP=camp...</a> , 2 pages
QC	AJ	IBM WebSphere Business Portals, WebSphere Software Platform, <a href="http://www-306.ibm.com/software/info1/websphere/index.jsp?tab=products/reachuser&amp;S_TACT=103BGW01&amp;S_CMP=campa...">http://www-306.ibm.com/software/info1/websphere/index.jsp?tab=products/reachuser&amp;S_TACT=103BGW01&amp;S_CMP=campa...</a> , 2 pages
	AK	<del>IBM, WebSphere Business Integration software, model, integrate, connect, monitor and manage, WebSphere Software Platform, "Business Integration," <a href="http://www-306.ibm.com/software/info1/websphere/index.jsp?tab=products/businessint&amp;S_TACT=103BGW01&amp;S_CMP=campa...">http://www-306.ibm.com/software/info1/websphere/index.jsp?tab=products/businessint&amp;S_TACT=103BGW01&amp;S_CMP=campa...</a>, 2 pages</del>
QC	AL	IBM WebSphere Transaction Processing Family, WebSphere Software Platform, "Transaction Servers & Tools," <a href="http://www-306.ibm.com/software/info1/websphere/index.jsp?tab=products/transactionserver&amp;S_TACT=103BGW01&amp;S_CMP...">http://www-306.ibm.com/software/info1/websphere/index.jsp?tab=products/transactionserver&amp;S_TACT=103BGW01&amp;S_CMP...</a> , 2 pages
	AM	<del>IBM WebSphere - e-business on demand, middleware, application server, portal, business integration, infrastructure software, WebSphere Software Platform, "Foundation &amp; Tools Information Kit," <a href="http://www-306.ibm.com/software/info1/websphere/index.jsp?tab=landing/foundtit&amp;S_TACT=103BGW01&amp;S_CMP=campa...">http://www-306.ibm.com/software/info1/websphere/index.jsp?tab=landing/foundtit&amp;S_TACT=103BGW01&amp;S_CMP=campa...</a>, 2 pages</del>

Examiner Signature <b>/Qing Chen/</b>	Date Considered <b>01/25/2007</b>
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Substitute Disclosure Form (PTO-1449)

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 14413-038001	Application No. 10/657,726
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Werner Aigner, et al.	
		Filing Date September 8, 2003	Group Art Unit 2171

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AN	<del>IBM WebSphere e-business on demand, middleware, application server, portal, business integration, infrastructure software, WebSphere Software Platform, "WebSphere and aSeries tools: Enabling Enterprise IT for On Demand," <a href="http://www-30.ibm.com/software/info1/webSphere/index.jsp?tab=landings/eis">http://www-30.ibm.com/software/info1/webSphere/index.jsp?tab=landings/eis</a>, 4 pages</del>
	AO	<del>IBM WebSphere e-business on demand, middleware, application server, portal, business integration, infrastructure software, WebSphere Software Platform, "WebSphere Foundation &amp; Tools," <a href="http://www-306.ibm.com/software/info1/webSphere/index.jsp?tab=products/foundtoolsstory&amp;S-TACT=103BCW01&amp;S-CMP">http://www-306.ibm.com/software/info1/webSphere/index.jsp?tab=products/foundtoolsstory&amp;S-TACT=103BCW01&amp;S-CMP</a>, 2 pages</del>
QC	AP	IBM Offering Information, "IBM WebSphere Commerce Business and Professional Editions and WebSphere Commerce Studio Developer Editions, V5.5: Complete Solutions to Deploy Value Chain Initiatives," Software Announcement 203-168, 25 pages, June 24, 2003
QC	AQ	IBM Offering Information, "IBM WebSphere Portal for Multiplatforms V5.0 Offers a Unified User Experience," Software Announcement 203-182, 23 pages, July 22, 2003
	AR	<del>IBM WebSphere e-business on demand, middleware, application server, portal, business integration, infrastructure software, WebSphere Software Platform, "Transaction Servers &amp; Tools," <a href="http://www-306.ibm.com/software/info1/webSphere/index.jsp?tab=products/transerverato">http://www-306.ibm.com/software/info1/webSphere/index.jsp?tab=products/transerverato</a>, 1 page</del>
QC	AS	IBM, "Integrating Data and Transactions for Agile E-Business," WebSphere Application Server, Version 4.0, 24 pages, May 2001
QC	AT	Oracle Designer – Product Information, "Oracle Designer 10g," <a href="http://otn.oracle.com/products/designer/index.html">http://otn.oracle.com/products/designer/index.html</a> , Oracle Corporation, 2 pages, 2004
QC	AU	"Oracle Application Server," Application Server, <a href="http://oracle.com/appserver/content.html">http://oracle.com/appserver/content.html</a> , Oracle Corporation, 1 page, 2004
QC	AV	"Oracle Application Server: Product Editions," Application Server Product Editions, <a href="http://www.oracle.com/appserver/pkgsum.html">http://www.oracle.com/appserver/pkgsum.html</a> , Oracle Corporation, 2 pages, 2004
	AW	<del>IBM, Rational Software from IBM, "Rational Software," <a href="http://www-306.ibm.com/software/rational">http://www-306.ibm.com/software/rational</a>, 2 pages</del>
	AX	<del>IBM Rational Software – Requirements and Analysis, "Requirements and Analysis," <a href="http://www-306.ibm.com/software/rational/offering/reqanalysis.html">http://www-306.ibm.com/software/rational/offering/reqanalysis.html</a>, 2 pages</del>
	AY	<del>IBM, Rational RequisitePro – Product Overview – IBM Software, "Overview," <a href="http://www-306.ibm.com/software/awdtools/reqpro">http://www-306.ibm.com/software/awdtools/reqpro</a>, 2 pages</del>
	AZ	<del>IBM, Rational RequisitePro – Features and Benefits – IBM Software, "Features and Benefits," <a href="http://www-306.ibm.com/software/awdtools/reqpro/features">http://www-306.ibm.com/software/awdtools/reqpro/features</a>, 2 pages</del>
QC	AAA	Davor Gornik, "Entity Relationship Modeling With UML," IBM, 21 pages, June 11, 2003
QC	ABB	Glenn A. Webster, Staff Technical Writer, "IBM® Rational® Rapid Developer Components & Web Services," A Technical How-to Guide for Creating Components and Web Services in Rational Rapid Developer, Rev. 1.00, 16 pages, June 2003
QC	ACC	James R. Farrell, "IBM® Rational® Rapid Developer Technical Overview," A Technical Discussion of Rational Rapid Developer Technical Overview, 26 pages, July 2003
QC	ADD	IBM, "IBM® Rational® Rose XDE™ Developer," Extend Your Development Experience, Rational Software, 5 pages, 2004
	AEE	<del>IBM, "IBM Rational Rose Technical Developer," Accelerating Complex Software Systems Development, Rational Software, 4 pages, 2003</del>

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Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AFF	<del>IBM, "IBM Rational Rose XDE" Modeler, "Make Your Vision Reality, Rational Software, 3 pages, 2004"</del>
	AGG	<del>IBM, "IBM Rational Rapid Developer," Architected Rapid Application Development, Rational Software, 3 pages, 2003"</del>
QC	AHH	Khawar Z. Ahmed, "J2EE Development With IBM Rational XDE and IBM WebSphere Studio Application Developer," IBM, Rational Software, A Technical Discussion of IBM Rational XDE TP197A, Version 2.2, 15 pages, June 2002
QC	AII	IBM, "Managing Use Cases Using the IBM Rational Solution for the Java Platform," Rational Software, 13 pages, June 2002
QC	AJJ	Khawar Z. Ahmed, "Using IBM Rational XDE and IBM Rational ClearCase Together," IBM, Rational Software, Version 1.2, 18 pages, February 28, 2003
	AKK	<del>IBM, Rational Rose XDE Modeler—Product Overview—IBM Software, "Overview," <a href="http://www-306.ibm.com/software/awdtools/developer/modeler/">http://www-306.ibm.com/software/awdtools/developer/modeler/</a>, 2 pages"</del>
	ALL	<del>IBM, Rational Rose XDE Modeler—Features and Benefits—IBM Software, "Features and Benefits," <a href="http://www-306.ibm.com/software/awdtools/developer/modeler/features/">http://www-306.ibm.com/software/awdtools/developer/modeler/features/</a>, 5 pages"</del>
	AMM	<del>IBM, IBM Rational Software—The Rational Advantage, "The On-Demand Era," <a href="http://www-306.ibm.com/software/rational/advantage/index.html">http://www-306.ibm.com/software/rational/advantage/index.html</a>, 2 pages"</del>
QC	ANN	Mike Perrow, IBM Rational Communications, IBM Software Group, "Building the On Demand Business: Four Imperatives for Improved Software Development," Improving Software Development Capability, 25 pages, 2004
	AOO	<del>MetaMatrix, "MetaBase Modeler Now on Eclipse," Version 4.0, Enterprise Information Integration and Enterprise Information Management for the Real Time Enterprise, MetaMatrix EIL colut., <a href="http://www.metamatrix.com">http://www.metamatrix.com</a>, 2 pages"</del>
	APP	<del>MetaMatrix, "Cross-Platform Metadata Management Solution," Metabase, 4 pages"</del>
	AQQ	<del>MetaMatrix, "Metadata Modeling Environment," Metabase Modeler, 2 pages"</del>
	ARR	<del>MetaMatrix, "MetaBase," Manage and Integrate Data Across the Extended Enterprise With MetaMatrix Enterprise Data Integration and Data Management tec., <a href="http://www.metamatrix.com/13_mctabasc.html">http://www.metamatrix.com/13_mctabasc.html</a>, 4 pages"</del>
	ASS	<del>MetaMatrix, "MetaBase Modeler™ Preview," Metamatrix MetaData Modeler for Enterprise MetaData Management, <a href="http://www.metamatrix.com/metadata-modeler.html">http://www.metamatrix.com/metadata-modeler.html</a>, 4 pages"</del>
	ATT	<del>MetaMatrix, "MetaMatrix Server," Enterprise Information Integration and Meta Data Management Systems—MetaMatrix, <a href="http://www.metamatrix.com/13_server.html">http://www.metamatrix.com/13_server.html</a>, 2 pages"</del>
	AUU	<del>MetaMatrix, "MetaMatrix XA Server," Database Transactions and Updates, <a href="http://www.metamatrix.com/13_tech_ica.html">http://www.metamatrix.com/13_tech_ica.html</a>, 2 pages"</del>
	AVV	<del>IBM Patterns for e-business: Collaboration business patterns, Collaboration, <a href="http://www-106.ibm.com/developerworks/patterns/collaboration/">http://www-106.ibm.com/developerworks/patterns/collaboration/</a>, pp. 1-2"</del>
	AWW	<del>IBM, developerWorks. Patterns for e-business, Collaboration. Review Application patterns, <a href="http://www-106.ibm.com/developerworks/patterns/collaboration/select-application-topolog">http://www-106.ibm.com/developerworks/patterns/collaboration/select-application-topolog</a>, pp. 1-11"</del>
	AXX	<del>Rob Cutlip, developerWorks. IBM developer solutions. Business process integration with IBM CrossWorlds, Part 1: Introduction to collaboration development, <a href="http://www-106.ibm.com/developerworks/ibm/library/i-cross/">http://www-106.ibm.com/developerworks/ibm/library/i-cross/</a>, May 2002, pp. 1-8"</del>

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	AYY	<del>IDM Patterns for e-business: WebSphere/Domino Integration custom design, Integrating the Self-Service and Collaboration patterns using WebSphere and Domino, <a href="http://www-106.ibm.com/developerworks/patterns/ws-domino/">http://www-106.ibm.com/developerworks/patterns/ws-domino/</a>, pp. 1-2</del>
	AZZ	<del>IDM, developerWorks: Patterns for e-business, WebSphere/Domino Integration general guidelines, <a href="http://www-106.ibm.com/developerworks/patterns/ws-domino/info.html">http://www-106.ibm.com/developerworks/patterns/ws-domino/info.html</a>, pp. 1-2</del>

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